



IAP7 Rec'd PCT/PTO 23 MAY 2006 PCT

In the United States Patent and Trademark Office

Serial No.: 10/551,295

§

Filing Date:

§

Title: LOCKING BONE PLATE

§

Examiner:

§

§

Art Unit: 3731

§

Applicant: YOUNG, Robert Allan

§

Atty docket No: PUS-S004-001

---

**INFORMATION DISCLOSURE STATEMENT**

To: U.S. Patent and Trademark Office  
Customer Service Window  
Commissioner for Patents  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314  
USA

Dear Sir,

Pursuant to the provisions of 37 C.F.R. §1.97, Applicant encloses the references set forth in the attached modified form PTO/SB/O8A. No inference should be made that the cited references are in fact material, are in fact prior art, are analogous art, or that no better art exists. The cited patents are listed in numerical order and not in any order based on their pertinence.

The undersigned states that:

[ ] each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

[ ] no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

[ ] the Office is authorized to charge the deposit account No. 502621 of MOETTELI & ASSOCIES SARL for the amount of the fee under § 1.17 (p) for submission of an Information Disclosure Statement.

[ ] a copy of each foreign patent, each publication or that portion which caused it to be listed, is attached together with either

- (a) a concise explanation of the relevance, as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information, of each patent, publication, or other information listed that is not in the English language. The concise explanation may be either separate from applicant's specification or incorporated therein, or
- (b) a copy of the translation if a written English-language translation of a non-English-language document, or portion thereof, is within the possession, custody, or control of, or is readily available to any individual designated in § 1.56(c).

[ ] Further, in compliance with MPEP § 1893(g), Applicant has cited the International Search Report and attaches herewith all references mentioned therein.

It is requested that the Examiner fully consider the cited references and that they be cited on the front of any patent issuing from this application.

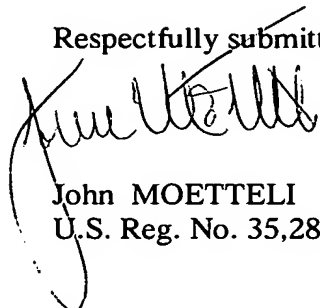
An early action on the merits is respectfully requested.

If the Examiner has further questions, he is invited to contact the undersigned at phone 011-4171 230 1000, fax at 011-4171 230 1001 or e-mail at moetteli@patentinfo.net.

Date: May 15, 2006

Enclosures: IDS form  
return postcard  
copies

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John Moetteli", written over the typed name and registration number.

John MOETTELI  
U.S. Reg. No. 35,289



PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/551,295
Filing Date	
First Named Inventor	YOUNG, Robert Allan
Art Unit	3731
Examiner Name	
Attorney Docket Number	PUS-S004-001

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 3,659,595	05-02-1972	HABOUSH	entire doc
		US- 3,716,050	02-13-1973	JOHNSTON	entire doc
		US- 4,696,290	09-29-1987	STEFFEE	Fig 2
		US- 5,002,544	03-26-1991	KLAUE et al	entire doc
		US- 5,261,910	11-16-1993	WARDEN et al	entire doc
		US- 5,275,601	01-04-1994	GOGOLEWSKI et al	Fig 3
		US- 5,324,290	06-28-1994	ZDEBLICK et al	Figs 1, 3, 4
		US- 5,364,399	11-15-1994	LOWERY et al	entire doc
		US- 5,681,311	10-28-1997	FOLEY et al	entire doc
		US- 5,709,686	01-20-1998	TALOS et al	entire doc
		US- 5,733,287	03-31-1998	TEPIC et al	Fig 4
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		DE 43 41 980	06-14-1995	OERTEL	Figs 2-3	
		WO 01/54601	08-02-2001	FRIGG	entire doc, Figs 2-4	
		WO 02/096309	12-05-2002	NIEDERBERGER	entire doc	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.